



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/046,971	01/17/2002	Hiroyuki Kato	036741-0111

CONFIRMATION NO. 5422

22428
FOLEY AND LARDNER
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

FORMALITIES LETTER



OC000000007466386

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

Adjustment date: 05/30/2002 SSALEEKU
01/23/2002 RNEBRAHT 00000051 10046971
02 FC:102 -168.00 DP

*A copy of this notice **MUST** be returned with the reply.*

05/30/2002 SSALEEKU 00000015 10046971

01 FC:102 84.00 DP

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyuki KATO

Title: IMAGE READING APPARATUS

App. No.: 10/046,971

Filing Date: 01/17/2002

Examiner: Unassigned

Art Unit: Unassigned

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on February 13, 2002, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.


Enclosed are:

- ☒ [X] Verified English Translation of Specification (22 pages) and Verification of Translation
- ☒ [X] Transmittal of Formal Drawings
- ☒ [X] Formal Drawings (8 sheets, figures 1-13)
- ☒ [X] Return Copy of Notice to File Missing Parts
- ☒ [X] Request for Refund

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: April 12, 2002

By 

FOLEY & LARDNER

Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

Telephone: (202) 672-5414

Facsimile: (202) 672-5399

Richard L. Schwaab
Attorney for Applicant
Registration No. 25,479



Atty. Dkt. No. 016915/0217

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyuki KATO
Title: IMAGE READING APPARATUS
Appl. No.: 10/046,971
Filing Date: January 17, 2002
Examiner: Unassigned
Art Unit: Unassigned

REQUEST FOR REFUND

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

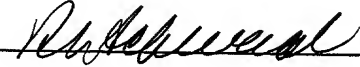
It is requested that the Applicant be refunded \$84.00, the amount of filing fee overpaid at the time the above application was filed.

The first fee in this application was paid on January 17, 2002, in the amount of \$1078.00, and included the initial filing fee of \$740.00, the fee for late filing an English language version of the specification of \$130.00, additional independent claims fee for 2 additional independent claims above 3 of \$168.00, and the assignment recordation fee of \$40.00. However, the application only contains 4 independent claims, which requires an additional claims fee of \$84.00. Therefore, the Applicant is entitled to a \$84.00 refund of said fee, consistent with the Notice of the Acting Commissioner of Patents and Trademarks of February 15, 1983 1027 OG (115).

Therefore, the Patent and Trademark Office is requested to make a refund in the form of a check in the amount of \$84.00, forwarded to the undersigned at the address indicated below. This paper is being submitted in duplicate for the convenience of the Finance Branch.

Respectfully submitted,

Date: April 11, 2002

By 

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Richard L. Schwaab
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002.812883.1



Atty. Dkt. No. 036741-0111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyuki KATO
Title: IMAGE READING APPARATUS
Appl. No.: 10/046,971
Filing Date: 01/17/2002
Examiner: Unassigned
Art Unit: Unassigned

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (8 sheets, Figures 1-13) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date: April 12, 2002

By

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Customer Number: 22428



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